

U.S. POSTAGE  
PAID  
AT  
NEW YORK, N.Y.  
PERMIT NO. 1000  
POSTAGE WILL BE PAID BY ADDRESSEE

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Attorney Docket	214980
-----------------	--------

First Inventor	Gupta
----------------	-------

Title	FORMULATION OF BORONIC ACID COMPOUNDS
-------	---------------------------------------

Express Mail Label No.	EL643544814US
------------------------	---------------

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

1. ☒ Utility Patent Application Transmittal Form
2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification (including claims and abstract) [Total Pages 40]
4. ☐ Drawings [Total Sheets ]
5. ☐ Combined Declaration and Power of Attorney [Total Pages ]
  - a. ☐ Newly executed
  - b. ☐ Copy from prior application [Note Box 6 below]
    - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
6. ☐ Incorporation by Reference: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered as part of the disclosure of the accompanying application and is hereby incorporated by reference.
7. ☒ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper Copy
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

- 11. ☐ Applicant requests early publication.**  
(include publication fee under 37 CFR 1.18(d))
- 12. ☐ Assignment Papers**  
(cover sheet and document(s))
- 13. ☐ 37 CFR 3.73(b) Statement** (when there is an Assignee)
- 14. ☐ Power of Attorney**
- 15. ☐ English Translation Document** (if applicable)
- 16. ☐ Information Disclosure Statement (IDS)**  
☐ Form PTO-1449  
☐ Copies of Listed Documents
- 17. ☐ Preliminary Amendment**
- 18. ☒ Return Receipt Postcard**  
(Should be specifically itemized)
- 19. ☐ Claim of Priority & Certified Copy of Priority Document(s)**
- 20. ☐ Request & Certification Under 35 USC 122(b)(2)(B)(i)** (Form PTO/SB/35 or its equivalent must be submitted with this application to prevent publication at 18 months)
- 21. ☐ Other:**

21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application no. \_\_\_\_\_
- Prior application information: Examiner \_\_\_\_\_ ; Group Art Unit: \_\_\_\_\_

## APPLICATION FEES

BASIC FEE		APPLICATION FEES		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	55			\$740.00
Independent Claims	5	35	x \$18.00	\$630.00
<input type="checkbox"/> Multiple Dependent Claim if applicable		2	x \$84.00	\$168.00
			+\$280.00	\$
Total of above calculations =				\$1,538.00
Reduction by 50% for filing by small entity =				\$( )
<input type="checkbox"/> Assignment fee if applicable			+ \$40.00	\$
<input type="checkbox"/> Early publication fee if applicable			+ \$300.00	\$
TOTAL =				\$1,538.00

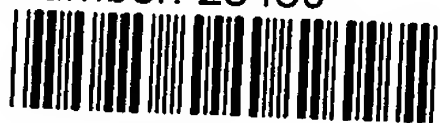
# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 214980

22. ☒ Please charge my Deposit Account No. 12-1216 in the amount of \$1,538.00.
23. ☐ A check in the amount of \$ is enclosed.
24. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 12-1216:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
25. ☒ The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 12-1216 for any fee that may be due in connection with such a request for an extension of time.

## 26. CORRESPONDENCE ADDRESS

☒ Customer Number: 23460



**23460**

PATENT TRADEMARK OFFICE

☐ Bruce M. Gagala, Reg. No. 28,844  
Leydig, Voit & Mayer, Ltd.  
Two Prudential Plaza, Suite 4900  
180 North Stetson  
Chicago, Illinois 60601-6780  
(312) 616-5600 (telephone)  
(312) 616-5700 (facsimile)

Name

Bruce M. Gagala, Registration No. 28,844

Signature

*Bruce M. Gagala*

Date

January 25, 2002

## Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this Utility Patent Application Transmittal and all accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

*Keli Brenneeman*

*Keli*

January 25, 2002

Name of Person Signing

Signature

Date

Utility Transmittal (Revised 10/18/01)